



Peconic Estuary Program Financial Summary & Budget Planning for FY20

Presented by Richard Friesner and Emma Gildesgame

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Who is NEIWPCC?

Mission: To advance clean water in the Northeast through collaboration with, and service to, our member states.

Vision: Clean and sustainable water throughout the Northeast.

Values: Leadership | Collaboration | Education | Service | Science

- Established **1947** by an Act of Congress (NY joined 1949)
- Oversight by 35 commissioners from 7 member states
 - Current Chair: Mark Klotz, Director, NYSDEC Division of Water
- Staff in Lowell, MA Headquarters and in all 7 member states
(incl. NYSDOH, NYSDEC, LINAP, and other National Estuary Programs)



NEIWPCC's Financial Systems

- Experienced administrator of EPA grants
- Manages federal, state, and local funds in line with all applicable federal regulations
- EPA-required annual third party audit
- Indirect rate approved by EPA & NEIWPCC EC annually

Not applied to contracts > \$100,000, participant support, equipment.



NEIWPCC's role in PEP

- Fiscal Sponsor
 - 501(c)3 status, applies and manages grant funds
 - Directly eligible for CWA funding
- MOU w/ Suffolk County (PEP host) and NYSDEC to employ, manage full-time staff
- Responsibility for portion of PEP's §320 funds and all associated workplan products
- Accountable to EPA for all PEP activities under approved workplans



Direct vs. Indirect Charges

Direct	Indirect – 18% for FY20
Program management	Human resources
Staff oversight	Business operations
Contracts	Communications
Quality assurance	Fiscal (Payroll, accounting, and finance)
	Executive Director

Direct charges are only applied as work is done; unspent direct allocations remain in their categories until spent in future grant years. Funds allocated to PEP may only be spent on PEP projects.

Indirect is applied as funds are spent based on actual direct costs. The indirect rate is set according to [EPA guidelines](#) and is approved annually by EPA.



NEIWPCC Spending Practices & Policies

- Unspent funds within a category roll forward for the life of the grant.
- Adjust future budget requests to accommodate existing balances.
- EPA budget amendments when necessary.
- Maintain staff salary reserves of approx. 1 year



FY20 PEP Funding Request

- Total EPA amount to NEPs: \$662,500 (projected)
- Approximate Suffolk County Portion: \$100,400
- Approximate NEIWPCCC Portion: \$562,100
- Expect funds in Sept. or Oct. of 2020 for FY21



Spending Summaries & FY20 Request



See attached spreadsheets

For questions or further information, contact:

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